

## Swimming in a sea of under-explored dynamics: A survey of approaches for capturing time-varying connectivity

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## Outline

- **Dynamic Connectivity: Brief Intro**
- Temporal Dynamics
- Spatial Dynamics
- Classification, Simulation, Validation
- Temporal features (primitives, statelets)
- Additional Clinical Applications
- Conclusion

## Brief (incomplete) history

- 2010
  - C. Chang et al., Neuroimage 2010
    - Sliding-window, wavelet transform, DMN ROIs, std
  - U. Sakoglu et al, MAGMA 2010
    - Sliding-window, whole brain ICA FNC dynamics, time-locked responses, application to SZ
- 2011
  - Kiviniemi et al.
    - Sliding window, spatial DMN changes
- 2012
  - Allen et al., Cerebral Cortex 2012.
    - ICA FNC 'states' dwell time, etc.
  - Jones et al., PlosOne 2012
    - ICA, dynamic graph metrics, AD
  - Damaraju et al. NI Clinical, 2014
    - ICA FNC static & dynamic states in large SZ study
- Reviews
  - Hutchison 2013, Neuroimage
  - Calhoun et al. Neuron 2014 ["chronnectome"]
  - Calhoun IEEE SPS Letters, 2016
  - Preti et al., Neuroimage 2017
  - Lurie, Network Neuroscience 2019 [extensive coverage of many issues]
  - Iraj, Trends Cog. Sci 2020 [spatial dynamics]

Lurie et al., Network Neuroscience 2019.

## The windowed FNC approach (dFNC)

**A IDENTIFICATION OF INTRINSIC NETWORKS (INs)**

Resting-state data  $Y_j$  → GROUP ICA → Group components  $R_j$  → FOR SUBJECT  $j = 1$  to  $M$  → BACK-RECONSTRUCT →  $R_j$  → Time Courses  $S_j$  → Spatial Maps  $F_j$

**B ASSESSMENT OF FUNCTIONAL CONNECTIVITY (FC) BETWEEN NETWORKS**

$R_j$  → STATIC FNC → ESTIMATE COVARIANCE →  $\Sigma$

$R_j$  → DYNAMIC FNC → FOR WINDOW  $w = 1$  to  $N$  → ESTIMATE COVARIANCE →  $\Sigma^L(w)$  → REGULARIZE  $\Sigma^L(w)$  →  $\Sigma^R(w)$

E. Allen, E. Damaraju, S. M. Pils, E. Erhardt, T. Eichele, and V. D. Calhoun, "Tracking whole-brain connectivity dynamics in the resting state," Cereb Cortex, 2014.

U. Sakoglu, G. D. Pearlson, K. A. Kiehl, Y. M. Wang, A. M. Michael, and V. D. Calhoun, "A method for evaluating dynamic functional network connectivity and task-modulation: application to schizophrenia," MAGMA, vol. 23, pp. 351-366, 2010.

## Whole-brain time-resolved connectivity (chronnectomics)

U. Sakoglu, G. D. Pearlson, K. A. Kiehl, Y. M. Wang, A. M. Michael, and V. D. Calhoun, "A method for evaluating dynamic functional network connectivity and task-modulation: application to schizophrenia," MAGMA, vol. 23, pp. 351-366, Dec 2010, 2991295.

E. Allen, E. Damaraju, S. M. Pils, E. Erhardt, T. Eichele, and V. D. Calhoun, "Tracking whole-brain connectivity dynamics in the resting state," Cereb Cortex, 2014.

V. D. Calhoun, R. Miller, G. D. Pearlson, and T. Adali, "The chronnectome: Time-varying connectivity networks as the next frontier in fMRI data discovery," Neuron, vol. 84, pp. 262-274, 2014

## Classification from rest fMRI: hybrid models

HCP Data (N=823) 100 Components      GSS Data (N=1005) 100 Components

Common Rest Networks (N=58)

- 5 sub-cortical
- 9 sensorimotor
- 15 cognitive control
- 9 cerebellar
- 4 auditory
- 9 visual
- 7 default mode

Dataset 1: IBIRN (n=320)      Dataset 2: COBRE (N=200)

Group Differences (r=0.95)

Use as priors for spatially constrained ICA on new subject

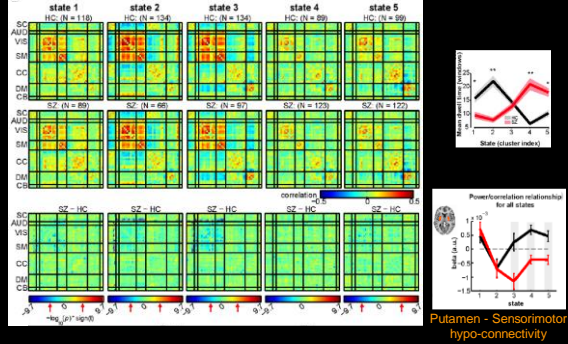
[adapts to individual subjects, preserves network labels, fuzzy parcellations]

Networks outperforms fixed ROIs

Y. Du, et al. "NeuroMark: An automated and adaptive ICA based pipeline to identify reproducible fMRI markers of brain disorders," Ning Clin, 28, 2020.

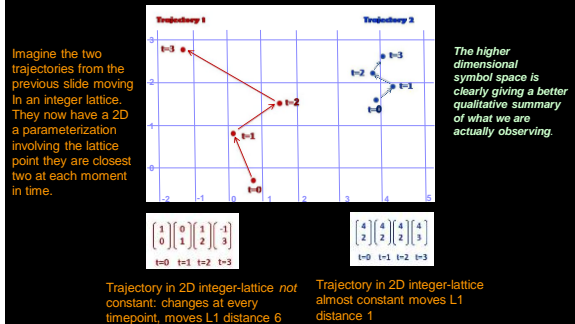
Y. Du, et al. "Artifact removal in the context of group ICA: A comparison of single-subject and group approaches," Hum Brain Mapp, vol. 37, pp. 1005-1025, 2016, PMC5784424.

### Example: Schizophrenia vs Controls



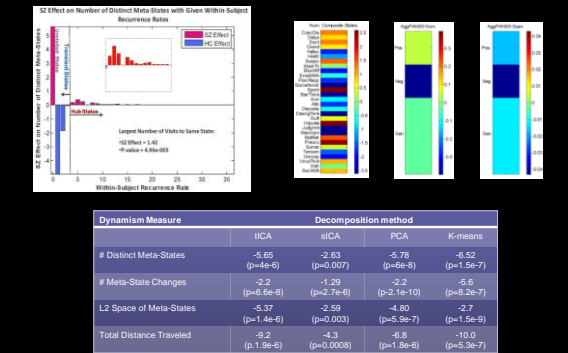
E. Damaraju, E. A. Allen, A. Belger, J. M. Ford, S. McEwen, D. H. Mathalon, B. A. Mueller, G. D. Pearlson, S. G. Potkin, A. Preda, J. A. Turner, J. G. Vaidya, T. G. van Erp, and V. D. Calhoun, "Dynamic functional connectivity analysis reveals transient states of dysconnectivity in schizophrenia," *Neuroimage Clin*, vol. 5, pp. 298-308, 2014, 4141977.

### Meta-state approach: add flexibility by allowing occupancy of multiple states at the same time



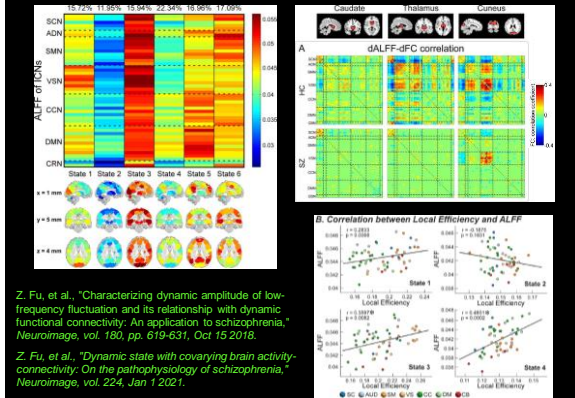
R. L. Miller, M. Yaesoubi, J. A. Turner, D. Mathalon, A. Preda, G. Pearlson, T. Adali, and V. D. Calhoun, "Higher Dimensional Meta-State Analysis Reveals Reduced Resting fMRI Connectivity Dynamism in Schizophrenia Patients," *PLoS One*, vol. 11, p. e0149849, 2016, PMC4794213.

### Schizophrenia reduced dynamic fluidity & dynamic range



R. L. Miller, M. Yaesoubi, J. A. Turner, D. Mathalon, A. Preda, G. Pearlson, T. Adali, and V. D. Calhoun, "Higher Dimensional Meta-State Analysis Reveals Reduced Resting fMRI Connectivity Dynamism in Schizophrenia Patients," *PLoS One*, vol. 11, p. e0149849, 2016, PMC4794213.

### Linking first and second order dynamics (dALFF & dFNC)

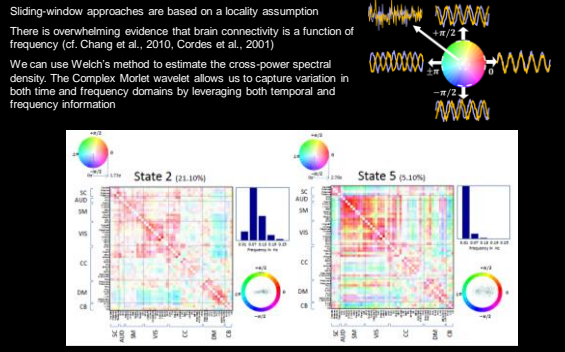


Z. Fu, et al., "Characterizing dynamic amplitude of low-frequency fluctuation and its relationship with dynamic functional connectivity: An application to schizophrenia," *Neuroimage*, vol. 180, pp. 619-631, Oct 15 2018.  
 Z. Fu, et al., "Dynamic state with covarying brain activity-connectivity: On the pathophysiology of schizophrenia," *Neuroimage*, vol. 224, Jan 1 2021.

### Outline

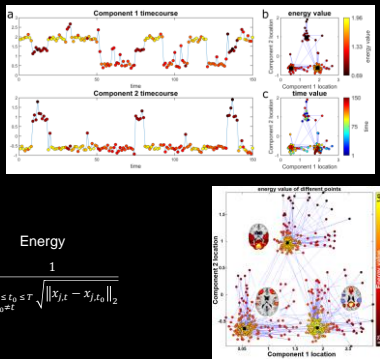
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### Time-frequency analysis



M. Yaesoubi, E. A. Allen, R. L. Miller, and V. D. Calhoun, "Dynamic coherence analysis of resting fMRI data to jointly capture state-based phase, frequency, and time-domain information," *Neuroimage*, 120, 133-142, 2016.

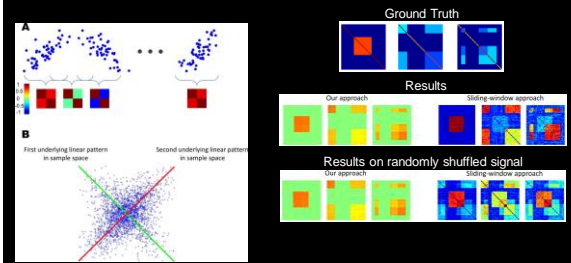
## Brain trajectory dynamics



$$e_{j,t} = \frac{1}{\sum_{t_0 \neq t} \sqrt{\|x_{j,t} - x_{j,t_0}\|_2}}$$

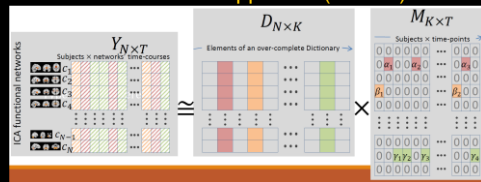
A. Faghiri, et al., "Brain Density Clustering Analysis: A New Approach to Brain Functional Dynamics," *Front Neurosci*, vol. 15, p. 621716, 2021, PMC8076753.

## A windowless approach...



M. Yaesoubi, T. Adali, and V. D. Calhoun, "A window-less approach for capturing time-varying connectivity in fMRI data reveals the presence of states with variable rates of change," *Hum Brain Mapp*, 2018.

## Windowless approach (K-SVD)



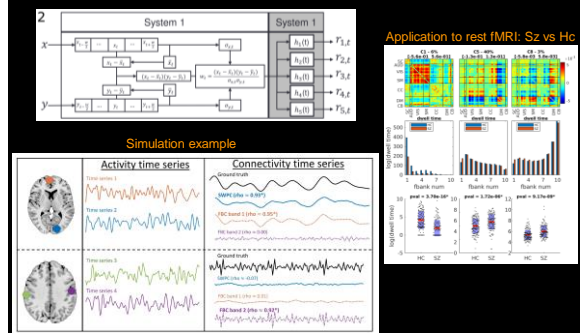
$$\min \|A\|_0 \text{ subject to } Y = DM$$

Key assumption avoided:  
-locality (windows, HMM)

Assumes:  
-Samples are i.i.d.  
-Multivariate normal dynamic distribution

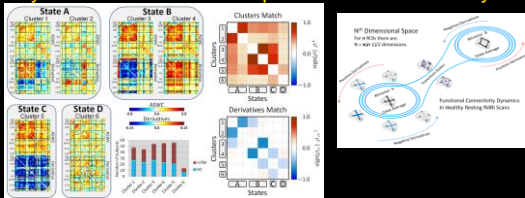
M. Yaesoubi, T. Adali, and V. D. Calhoun, "A window-less approach for capturing time-varying connectivity in fMRI data reveals the presence of states with variable rates of change," *Hum Brain Mapp*, 2018.

## Filterbank connectivity (a unified approach)

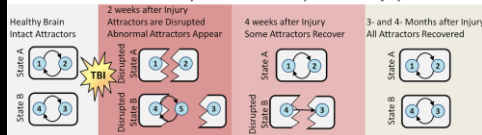


A Faghiri, A Iraj, E Damaraju, J Turner, and V Calhoun, "A unified approach for characterizing static/dynamic connectivity frequency profiles using filter banks," *Network Neuroscience*, pp. 1-51, 2020

## Dynamic attractor model predicts mTBI recovery



### Timeline of Brain Dynamics and Recovery after Brain Injury

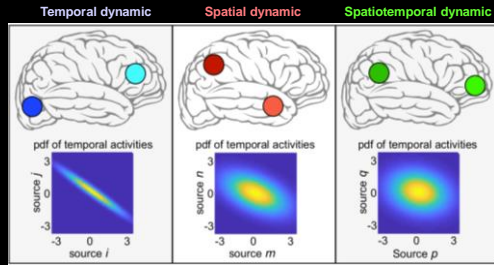


H. J. van der Horn, V. M. Vergara, F. A. Espinoza, V. D. Calhoun, A. R. Mayer, and J. van der Naalt, "Functional outcome is tied to dynamic brain states after mild to moderate traumatic brain injury," *Hum Brain Mapp*, 2019.

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## Functional organization evolves over time

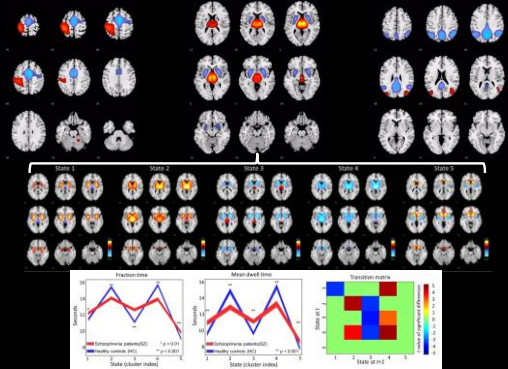


A toy example of three different dynamic behaviors for systems with two latent sources

A. Iraj et al. "The spatial chronnectome reveals a dynamic interplay between functional segregation and integration," *Hum Brain Mapp*, vol. 40, pp. 3058-3077, Jul 2019, PMC6548674.

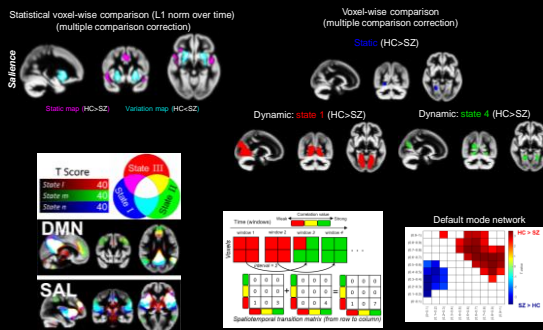
A. Iraj, R. Miller, T. Adali, and V. D. Calhoun, "Space: A Missing Piece of the Dynamic Puzzle," *Trends Cogn Sci*, vol. 24, pp. 135-149, Feb 2020

## A dynamic spatial hierarchy: Less thalamic dynamics in SZ



A. Iraj, et al., "Spatial dynamics within and between brain functional domains: A hierarchical approach to study time-varying brain function," *Human Brain Mapping*, vol. 40, pp. 1969-1986, 2019

## Spatially fluid dynamics



A. Iraj, T. P. Deramus, N. Lewis, M. Yaessoubi, J. M. Stephan, E. Erhardt, A. Belger, J. M. Ford, S. McEwen, D. H. Mathalon, B. A. Mueller, G. D. Pearson, S. G. Potkin, A. Preda, J. A. Turner, J. G. Vaidya, T. G. M. van Erp, and V. D. Calhoun, "The spatial chronnectome reveals a dynamic interplay between functional segregation and integration," *Hum Brain Mapp*, vol. 40, pp. 3058-3077, Jul 2019, PMC6548674.

## Lack of systematic categorization of existing approaches

Table 1. A (Noncomprehensive) Categorization of Examples of Existing Analytical Approaches Based on the Type of Estimated Sources

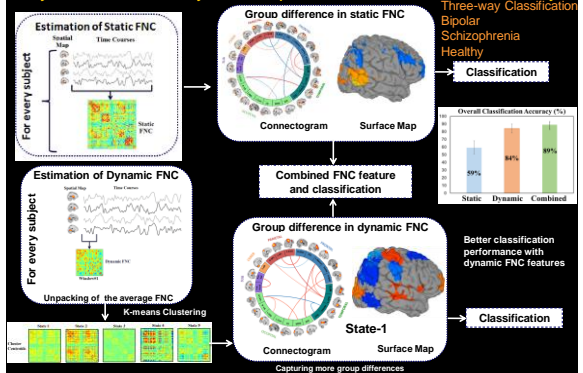
Analytical approach	Node = Source?	Multiple spatial patterns	Spatiotemporal dynamic	Refs (examples)
Seed-based analysis (SBA)	No	No	No	[31]
Independent component analysis (ICA)	Yes	Yes	No	[32,33]
Concentration pattern (ICAP) analysis	No	Yes	No	[34]
Dynamic functional connectivity (dFC) with fixed nodes/seeds	No	No	Yes	[34-36]
Dynamic functional network connectivity (dFNC) analysis	Yes	Yes	No	[37,38]
Dynamic coupling map (dCM) analysis	Yes	Yes	Yes	[39]
Windowed ICA/independent vector analysis (SW-ICA/IVA)	Yes	Yes	No	[40,41]
Constrained SW-IVA	Yes	Yes	Yes	[42]
Dynamic hierarchy analysis (dHA)	Yes	Yes	Yes	[43]

A. Iraj, R. Miller, T. Adali, and V. D. Calhoun, "Space: A Missing Piece of the Dynamic Puzzle," *Trends Cogn Sci*, vol. 24, pp. 135-149, Feb 2020.

## Outline

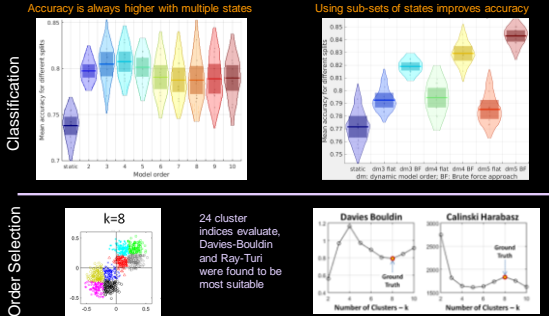
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## Dynamic connectivity is more predictive than static connectivity



B. Rashid, M. R. Arbabshirani, E. Damaraju, M. S. Cetin, R. Miller, G. D. Pearson, and V. D. Calhoun, "Classification of schizophrenia and bipolar patients using static and dynamic resting-state fMRI brain connectivity," *Neuroimage*, 134, 645-667, Apr 23 2016

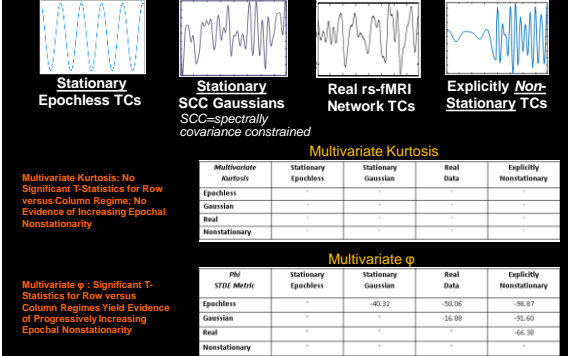
## Accuracy of static vs dynamic at different model orders



D. Saha, A. Abrol, E. Damaraju, B. Rashid, S. Plis, and V. D. Calhoun, "Classification As a Criterion to Select Model Order For Dynamic Functional Connectivity States in Rest-fMRI Data," in IEEE EMBC, 2019.

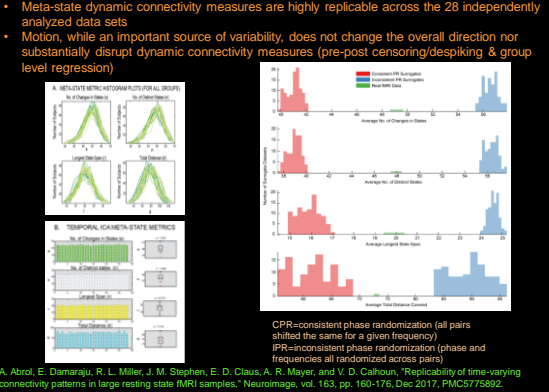
V. Vergara, M. Salman, A. Abrol, F. Espinoza, and V. Calhoun, "Determining the Number of States in Dynamic Functional Connectivity Using Cluster Validity Indexes," J. Neuroscience Methods, in press.

## Null models & dynamics

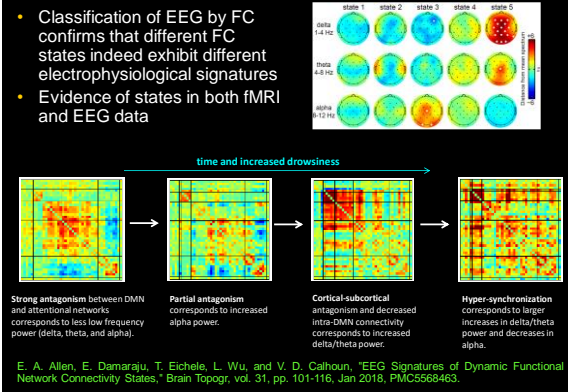


R. L. Miller, A. Abrol, T. Adali, Y. Levin-Schwarz, and V. D. Calhoun, "Resting-State fMRI Dynamics and Null Models: Perspectives, Sampling Variability, and Simulations," Front Neurosci, vol. 12, p. 551, 2018, PMC6313983.

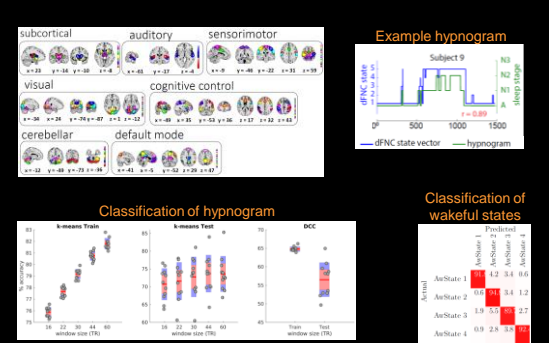
## Motion and Replicability



## Concurrent EEG/fMRI

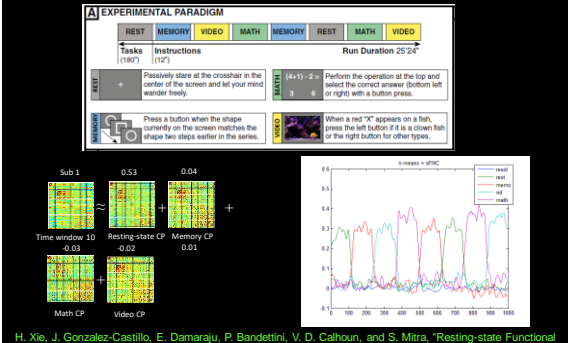


## Classification of sleep and wakefulness (EEG/fMRI)



E. Damaraju, E. Tagliazucchi, H. Laufs, and V. D. Calhoun, "Connectivity dynamics from wakefulness to sleep," Neuroimage, vol. 220, p. 117047, Jun 17 2020.

## Whole-brain connectivity is dynamically task-dependent

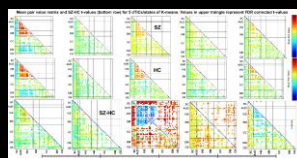


## Outline

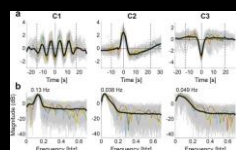
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## Searching the dynamic space

Triclustering to compare apples/apples:  
Exhaustive search for similarity among: 1) FNC values, 2) windows (time), 3) subjects



Deep learning (deep temporal convolutional neural network) to capture repeating temporal primitives with brain networks

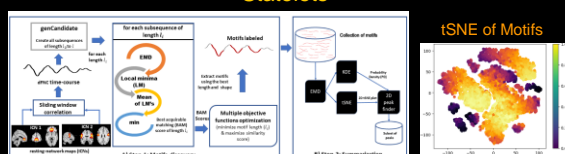


MA Rahaman, et al., "N-BIC: A Method for Multi-Component and Symptom Biclustering of Structural MRI Data: Application to Schizophrenia," IEEE Trans Biomed Eng, vol. 67, pp. 110-121, Jan 2020.

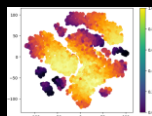
H. Morioka, V. Calhoun, and A. Hyvarinen, "Nonlinear ICA of fMRI reveals primitive temporal structures linked to rest, task, and behavioral traits," Neuroimage, vol. 218, Sep 2020.

M. A. Rahaman, et al., "A novel method for tri-clustering dynamic functional network connectivity (dFNC) identifies significant schizophrenia effects across multiple states in distinct subgroups of individuals," bioRxiv, p. 2020.2008.2006.239152, 2020.

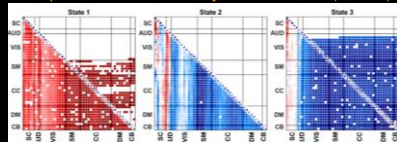
## Statelets



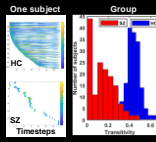
tSNE of Motifs



Examples of max connectivity within statelets (HC-SZ)

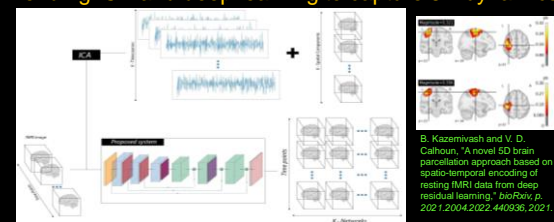


Transit times

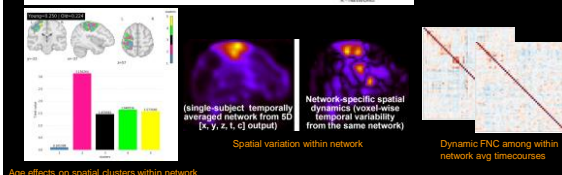


M. Rahaman, E. Damaraju, D. Saha, S. Plis, and V. D. Calhoun, "Statelets: High dimensional predominant shapes in dynamic functional network connectivity," bioRxiv, p. 2020.2008.2016.262939, 2020.

## Blending ICA and deep learning to capture 5D dynamics

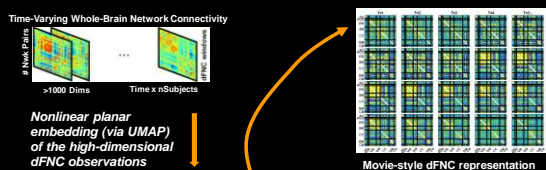


B. Kazemivash and V. D. Calhoun, "A novel 5D brain parcellation approach based on spatio-temporal encoding of resting fMRI data from deep residual learning," bioRxiv, p. 2021.2004.2022.440936, 2021.



Age effects on spatial clusters within network

## Multiframe evolving dFNC (EVOdFNC) vs 'snapshot' dFNC (SNAPdFNC)



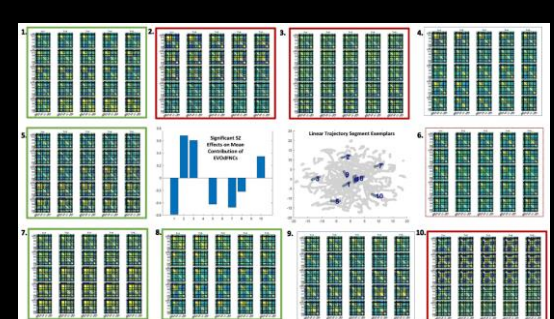
Nonlinear planar embedding (via UMAP) of the high-dimensional dFNC observations



Continuity-Preserving 2D Embedding

Construct linearized 2D dFNC trajectory exemplar  $\gamma$ , of slope  $m^*$  centered at  $(x^*, y^*)$ ; based on the distribution of segment lengths

## Pervasive SZ vs HC differences



Group differences as well as significant and differential relationship w/ positive symptoms

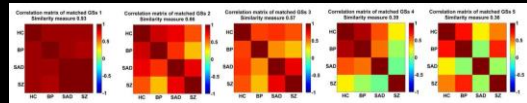
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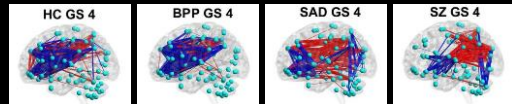
## States differentiate along mood/psychosis dimension

States differentiate four groups: 1) Schizophrenia (SZ), 2) Schizoaffective disorder (no manic episodes; SAD), 3) bipolar disorder (BPP), 4) healthy controls (HC)

Similarity matrices between groups for each of 5 states

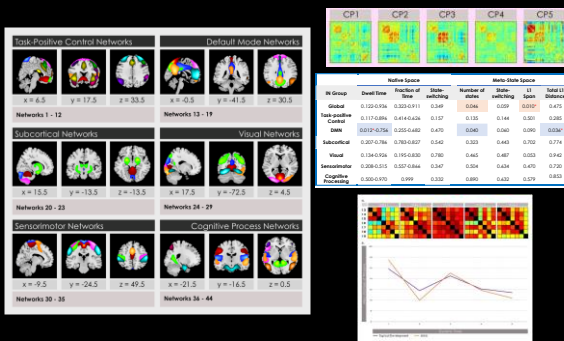


Connectivity patterns in state 4 for each group



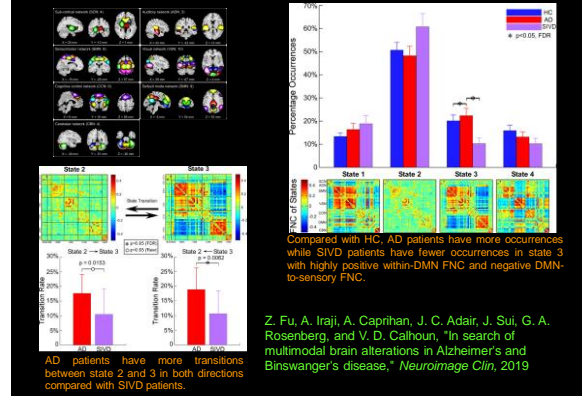
Y. Du, G. D. Pearlson, D. Lin, J. Sui, J. Chen, M. Salami, C. A. Tamminga, E. I. Ivleva, J. A. Sweeney, M. S. Keshavan, B. A. Clementz, J. Bustillo, and V. D. Calhoun, "Identifying dynamic functional connectivity biomarkers using GIG-ICA: Application to schizophrenia, schizoaffective disorder, and psychotic bipolar disorder," *Hum Brain Mapp.*, vol. 38, pp. 2683-2708, May 2017, PMC5399898.

## dfNC in ADHD: evidence of multi-scale effects

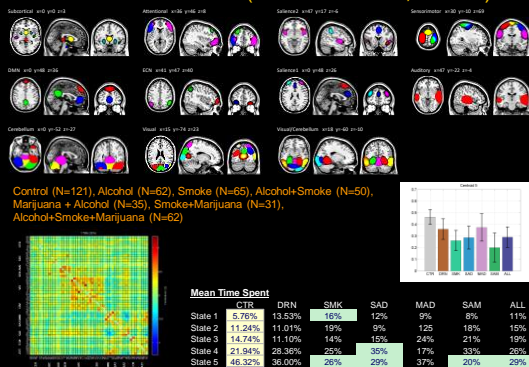


N. de Lacy and V. D. Calhoun, "Dynamic connectivity and the effects of maturation in youth with attention deficit hyperactivity disorder," *Netw Neurosci.*, vol. 3, pp. 195-216, 2019, PMC6372020.

## dfNC in AD/SIVD



## Substance use data (5-min rest fMRI, N=462)



V. M. Vergara, B. J. Weiland, K. E. Hutchison, and V. D. Calhoun, "The Impact of Combinations of Alcohol, Nicotine, and Cannabis on Dynamic Brain Connectivity," *Neuropsychopharmacology*, vol. 43, pp. 877-890, 2018.

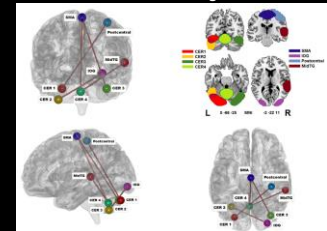
## Prediction of mild TBI

Connections between Cerebellum and Cortical Regions

Fractional Anisotropy  
AUC 66%

Rest fMRI:  
AUC 78%

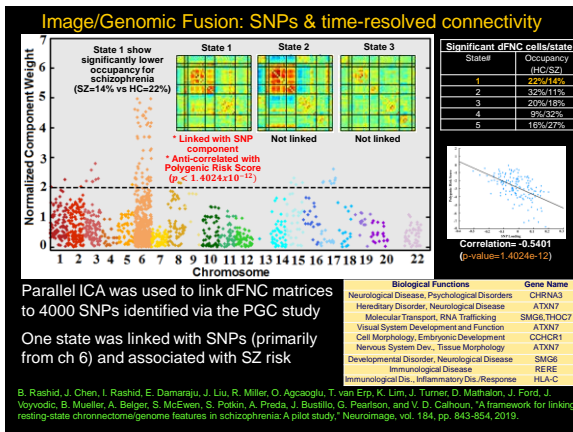
Dynamic Rest fMRI:  
AUC 92%



Impact of pipelines (filter/motion/window size/etc)

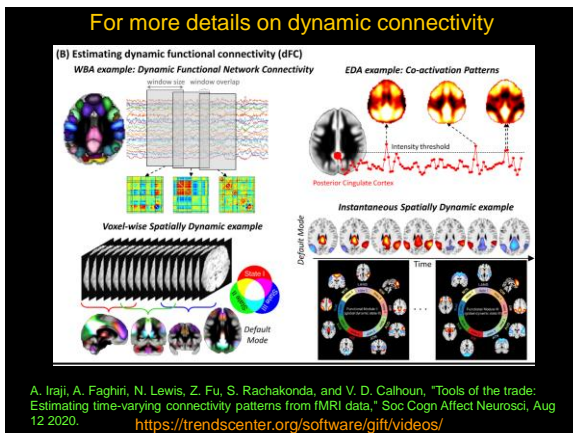
V. M. Vergara, A. R. Mayer, E. Damaraju, and V. D. Calhoun, "The effect of preprocessing in dynamic functional network connectivity used to classify mild traumatic brain injury," *Brain Behav.*, vol. 7, p. e00809, Oct 2017, PMC5651393.

V. M. Vergara, A. R. Mayer, K. A. Kiehl, and V. D. Calhoun, "Dynamic functional network connectivity discriminates mild traumatic brain injury through machine learning," *Neuroimage Clin.*, vol. 19, pp. 30-37, 2018, PMC6051314.



### Software

- <http://trendscenter.org/software>
- freeware, written in MATLAB (also offering compiled versions), python, etc: over 20,000 unique downloads
- GIFT (Group ICA of fMRI Toolbox)**
  - Single subject/Group ICA
  - MANCOVA testing framework
  - Source based morphometry
  - ICASSO (clustering/stability)
  - Dynamic FNC/Coherence
- FIT (Fusion ICA Toolbox)**
  - Parallel ICA, jICA
  - mCCA+jICA & much more!
- Simulation Toolbox (SimTB)**
  - Flexible generation of fMRI-like data
- COINS (data management/capture/sharing)**
  - <http://coins.trendscenter.org>
- COINSTAC (decentralized analysis, python)**
  - <https://github.com/MRN-Code/coinstac>
- CORTEX (deep learning)**
  - <https://github.com/rdevon/cortex>



### dFNC Toolbox in GIFT

A. Iraj, A. Faghiri, N. Lewis, Z. Fu, S. Rachakonda, and V. D. Calhoun, "Tools of the trade: Estimating time-varying connectivity patterns from fMRI data," *Soc Cogn Affect Neurosci*, Aug 12 2020. <http://trendscenter.org/software/gift>

